Message Text

PAGE 01 NATO 06848 01 OF 02 150214Z ACTION EUR-12

INFO OCT-01 ISO-00 CIAE-00 PM-04 INR-07 L-03 ACDA-10 NSAE-00 PA-02 SS-15 PRS-01 SP-02 USIA-15 TRSE-00 SAJ-01 DODE-00 /073 W

----- 002056 /71

R 141737Z DEC 76 FM USMISSION NATO TO SECSTATE WASHDC 819 ALL NATO CAPITALS 6645 USCINCEUR USLOSACLANT USNMR SHAPE

UNCLAS SECTION 1 OF 2 USNATO 6848

E.O. 11652: N/A TAGS: PFOR NATO

SUBJECT: SACLANT'S ARTICLE IN "NATO REVIEW"

THERE FOLLOWS TEXT OF SACLANT'S ARTICLE FROM THE DECEMBER EDITION OF THE "NATO REVIEW" TITLED: "NATO STRATEGY AND THE NEW DIMENSION AT SEA."
BEGIN TEXT:

IT IS, I BELIEVE, NOW GENERALLY AGREED THAT THE LATE 1960'S AND EARLY 1970'S HAVE SEEN THE ARRIVAL OF A MAJOR NEW DIMENSION IN SOVIET MILITARY CAPABILITY - NAMELY SIGNIFI-CANT GLOBAL SEA POWER. WHILE THE ADVENT OF THE CAPABILITY IS WIDELY RECOGNIZED, LESS ATTENTION HAS BEEN PAID TO THE OPTIONS WHICH THAT CAPABILITY HAS OPENED TO THE SOVIET POLITICO-MIITARY PLANNERS. INDEED. IN THE SAME PERIOD. NATO'S MILITARY POSTURE AND STRATEGIC THINKING HAS REMAINED ALMOST TOTALLY OIENTED TOWARDS DEFENDING THE ALLIANCE FROM MILITARY PRESSURE ON THE MAINLAND OF EUROPE, WITH OUR MARITIME FORCES IN A SUPPORTING ROLE. IN ADDITION TO SHEER NUMBERS OF WARSHIPS THERE ARE SEVERAL ELEMENTS THAT CAN BE IDENTIFIED AS THE BUILDING BLOCKS OF SOVIET SEAPOWER. FIRSTLY, THERE HAS BEEN A DRAMATIC QUALITATIVE IMPROVEMENT IN THE SOVIET NAVY. LONG RANGE NUCLEAR SUBMARINES HAVE REPLACED CONVENTIONAL SUBMARINES OF LIMITED CAPABILITY, AND MODERN MISSILE EQUIPPED SHIPS AND AIRCRAFT CONTAINING SOPHISTICATED ELECTRONICS HAVE UNCLASSIFIED

PAGE 02 NATO 06848 01 OF 02 150214Z

BEEN INTRODUCED. SECONDLY, THEY HAVE DEVELOPED AN UP-TO-DATE, NUCLEAR POWERED, STRATEGIC MISSILE SUBMARINE FORCE, CAPABLE OF THREATENING THE ALLIANCE FROM ANY OF THE WORLD'S PRINCIPAL OCEANS. THIRDLY, THEY HAVE EXPANDED THEIR SHIPBUILDING CAPABILITY

IN A TRULY REMARKABLE MANNER. FOR EXAMPLE, THEY NOW HAVE THE CAPACITY TO BUILD NUCLEAR SUBMARINES AT A FASTER RATE THAN THE ALLIANCE. FORTHLY, THEIR MERCHANT FLEET, WHICH IS DESIGNED TO SUPPORT THEIR NAVY, IS NOW THE FIFTHLARGEST IN THE WORLD. IS IS CONTINUALLY OFFERING TO UNDERCUT THE TRADITIONAL MARITIME CARRIERS ON THE WORLD'S TRADE ROUTES. AT THE SAME TIME IT IS COMPLEMENTED BY A WELL-EQUIPPED FISHING FLEET AND WORLD-WIDE OCEANOGRAPHIC RESEARCH EFFORT. FINALLY, AND PERHAPS MOST SIGNIFICANT OF ALL. THEY HAVE TAKEN. AND ARE CONTINUING TO TAKE, THE NECESSARY STEPS TO OVERCOME THE GEOGRAPHIC CONSTRAINTS WHICH HAMPER THE EXERCISE OF THEIR MARITIME POWER. SUCH STEPS INCLUDE A WORLD-WIDE RECONNAISSANCE COMMAND AND CONTROL SYSTEM, INCREASED EMPHASIS ONAFLOAT SUPPORT TOGETHER WITH THE ACQUISITION OF BASES BASE RIGHTS OVERSEAS, AND A TRAINING EFFORT WHICH PLACES INCREASING EMPHASIS ON WORLD-WIDE OPERATIONS AS DEMONSTRATED IN THE OKEAN EXERCISES, PARTICULARLY THAT HELD IN 1975.

BALNCE OF POWER AFFECTED

IN THE 1950'S ANDEARLY 1960'S WHEN NATO'S DEFENCE POSTURE AND STRATEGY WERE ESTABLISHED. THESE SOVIET CAPABILITIES DID NOT EXIST IN NEARLY SUCH A SIGNIFICANTDEGREE AND THE NATO NAVIES WERE LARGER, BOTH RELATIVELY AND ACTUALLY, HOW THEN HAS THIS NEW DIMENSION OF SOVIET MARITIME CAPABILITY AFFECTED THE BALANCE OF POWER BETWEEN THE WARSAW PACT AND NATO, AND WHAT NEW OPTIONS DOES IT MAKE AVAILABLE TO SOVIET POLITICO-MILITARY PLANNERS? NATO'S STRATEGY DEPENDSFIRST ON DETERRENCE AND, FAILING THAT, ON A GRADUATED RESPONSE THAT WOULD FORCE THE ENEMY TO FACE ESCALATION IF HE SHOULD ATTEMPT ANY MILITARY ADVENTURE IN THE NATO AREA. IN TIMES GONE BY, NATO HAD A CLEAR CUT ABILITY TO DETER MARITIME MILITARY ADVENTURES BY THE SOVIET UNION, AND THE CLEARLY DEMONSTRABLE DETERRENT CAPABILITY TO PROVIDE NATO LAND FORCES WITH REINFORCEMENTTOGETHER WITH SUPPORT OVER AND FROM THE SEA. THE PREPONDERANCE OF ALLIED SEAPOWER WAS SUCH THAT IT LIMITED SOVIET MILITARY OPTIONS TO AGRESSION ON THE MAINLAND OF EUROPE (PREFERABLY AWAY FROM THE FLANKS). THIS FORCED ACCEPTANCE OF THE REALIZATION THAT SUCH AGRESSION, IF CARRIED OUT, WOULD HAVE TO BE SHORT, STRONG AND SWIFT, UNCLASSIFIED

PAGE 03 NATO 06848 01 OF 02 150214Z

WITH THE ATTENDANT RISK OF STEPPING OVER THE NUCLEAR THRESHOLD, OTHERWISE IT COULD NOT BE BROUGHT TO A SUCCESSFUL CONCLUSION BEFORE NATO'S MARITIME CAPBILITY BROUGHT RESUPPLY AND REINFORCEMENTS ROLLING IN. AS DIRECT CONFRONATION IN EROPE, WITH ALL THE RISKS THIS ENTAILED, WAS UNATTRACTIVE, WITH ALLIED COMMAND EUROPE (ACE) FACING HER ON LAND AND THE SEA LARGELY DENIED TO HER, THE SOVIET UNION TURNED LOGICALLY TO THE BUILDING OF A STRONG NAVY TO OPEN UP NEW OPTIONS. WHAT ARE THESE NEW OPTIONS AND WHAT ARE THEIR IMPLICATIONS FOR THE ALLIANCE? IN THE EUROPEAN THEATRE, SEAPOWER HAS OPENED AT LEAST TWO NEW VISTAS TO THE SOVIET PLANNERS. FIRSTLY, IT HAS CONSIDERABLY INCREASED THE VULNERABILITY OF NATO'S FLANKS. TO SOVIET MINDS THE FEASIBILITY OF AT LEAST TEMPORARILY ISOLATING SOME PART

OF THE FLANKS BY, AMONGST OTHER THINGS, THE CONCENTRATION OF MASSIVE POWER MAY NOW BE A STRATEGY WORTHY OF CONSIDERATION. SUCH A STRATEGY MIGHT HAVE LIMITED OBJECTIVES SUCH AS THE SEIZURE OF ADDITIONAL BASES AND ICE-FREE PORTS IN THE NORTH OR FREEING ACCESS TO THE MEDITERRANEAN IN THE SOUTH. SECONDLY, IT HAS INCREASED THE VULNERABILITY OF LINES OF COMMUNICATIONS OVER WHICH THE SUPREME ALLIED COMMANDER EUROPE'S REINFORCEMENTS AND RESUPPLY MUST COME. THUS THE SOVIET HIGH COMMAND MUST SURELY ENJOY A GREATER FLEXIBILITY AND CONFIDENCE AS THEY CONTEMPLATE THE SLOWING AND CONSTRICTION OF ALLIED REINFORCEMENTS. SUCH CONSIDERATIONS MUST BECOME INCREASINGLY ATTRACTIVE IN SOVIET MINDS IF THE BALANCE OF POWER AT SEA SHOULD CONTINUE TO MOVE IN THEIR FAVOUR. HOWEVER, IT IS OUR FIRM OPINION THAT WE STILL RETAIN SUFFICIENT MARITIME CAPABILITY TO CHALLENGE SUCH MAJOR ADVENTURES AT SEA AND TO FACE OUR POTENTIAL OPPONENTS WITH HEAVY AND PROBABLY UNACCEPTABLE RISKS. NEW HORIZONS FOR SOVIET PLANNERS HOWEVER, IT IS UNDOUBTEDLYTHE GLOBAL ASPECTS OF SEAPOWER THAT HAVE OPENED UP COMPLETELY NEW HORIZONS TO THE SOVIET POLITICO-MILITARY PLANNERS. WE LIVE IN AN INCREASINGLY INTERDEPENDENT WORLD. THE INDUSTRIAL NATIONS DEPEND TO A LARGE EXTENT ON THE DEVELOPING NATIONS FOR RAW MATERIALS, WHILE, SIMILARLY, THE DEVELOPING NATIONS DEPEND ON THE INDUSTRIAL NATIONS FOR THE CAPITAL GOODS WHICH ENABLE THEM TO EXTRACT THEIR RAW MATERIALS AND DEVELOP FURTHER. THE FLOW OF THIS TRADE, THE MAJOR PART OF WHICH MOVES BY SEA. IS THE LIFEBLOOD OF NATIONS AND THE NATO COUNTRIES ARE NO EXCEPTION. WELL ESTABLISHED EXAMPLES ABOUND, NINETY-SEVEN PCT OF WESTERN EUROPE'S AND THIRTY-THREE UNCLASSIFIED

PAGE 04 NATO 06848 01 OF 02 150214Z

PCT OF NORTH AMERICA'S OIL COMES FROM OVERSEAS. NINETY-SIX PCT OF THE WORLD'S CHROME AND THIRTEEN PCT OF THE COPPER LIES IN AFRICA AND IS EXPORTED TO THE INDUSTRIAL NATIONS BY SEA. AT THE SAME TIME, NORTH AMERICA EXPORTS ABOUT \$8.9 BILLION OF MANUFACTURED GOODS EVERY MONTH WHILE THE FGURE FOR WESTERN EUROPE IS ABOUT \$30 BILLION. ANY INTERFERENCE WITH SOURCES OF RAW MATERIAL OR THE FLOW OF TRADE CAN QUICKLY CASUE MAJOR DISLOCATION - A DISCLOCATION FELT MORE ACUTELY IN THE SOPHISTICATED INDUSTRIAL NATIONS WITH HIGH STANDARDS OF LIVING THAN IN THE DEVELOPING COUNTRIES. IT IS THIS NETWORK OF TRADE WHICH TODAY MORE THAN EVER REPRESENTS THE SOFT UNDERBELLY OF NATO. IT OFFERS TO THE SOVIET UNION A WAY AROUND THE DEADLOCK IN EUROPE BECAUSE, IF OUR SOURCES OF RAW MATERIAL OR OUR SEA LINES OF TRADE CAN BE DOMINATED OR EVEN INFLUENCED BY SOVIET POWER THEN, TO AN EXTENT, THE LIFEBLOOD OF THE NATO NATIONS WILL BE IN THE HANDS OF A POTENTIAL ENEMY. THE EXISTENCE OF THIS VULNERABLE JUGULAR IS NOT NEW. IT HAS BEEN THERE SINCE LONG BEFORE NATO WAS FORMED. HOWEVER, AS MUCH OF IT LIES WELL OUTSIDE THE NATO AREA,IT HAS BECOME EXPOSED TO AN INCREASED THREAT BY THE SOVIET UNION'S ACOUISITION OF GLOBAL SEAPOWER. GLOBAL SEAPOWER HAS GIVEN THE SOVIET UNION THE ABILITY TO INTERDICT OR PRESSURE INTERNATIONAL TRADE AT POINTS WELL OUTSIDE THE NATO AREA. IN PEACETIME, THE SOVIETS CAN USE THEIR CENTRALLY CONTROLLED MERCHANT FLEET UNDERCUT WORLD FREIGHT RATES THUS INFLUENCING OTHER NATIONS TO CUT BACK ON THE USE OF THEIR OWN MERCHANT FLEETS AND TO PLACE ALLIANCE CARGOES UNDER SOVIET CONTROL. AGAIN, IN TIMES OF PEACE THERE NOW EXISTS THE ABILITY TO PROJECT A SOVIET NATIONAL PRESENCE ABROAD - TO "SHOW THE FLAG" IN PORTS ONCE DOMINATED BY THE ALLIES. IN TIMES OF TENSION OR CONFLICT, WITH OR WITHOUT WAR, THIS MARTIME CAPABILITY CAN PROJECT POWER OVERSEAS, TO SUPPORT INSURRECTIONS, AND TO PROVIDE MILITARY SUPPORT TO POLITICAL PRESSURE IN COUNTRIES THAT PROVIDE VITAL RESOURCES TO NATIONS OF THE ALLIANCE. IN TIME OF WAR, SOVIET NUCLEAR POWERED SUBMARINES COULD BE DIRECTED AGAINST VITAL OIL AND TRADE ROUTES OUTSIDE THE NATO AREA.

UNCLASSIFIED

PAGE 01 NATO 06848 02 OF 02 150208Z ACTION EUR-12

INFO OCT-01 ISO-00 CIAE-00 PM-04 INR-07 L-03 ACDA-10 NSAE-00 PA-02 SS-15 PRS-01 SP-02 USIA-15 TRSE-00 SAJ-01 DODE-00 /073 W

----- 002012 /71

R 141737Z DEC 76 FM USMISSION NATO TO SECSTATE WASHDC 820 ALL NATO CAPITALS 6646 USCINCEUR USLOSACLANT USNMR SHAPE

UNCLAS SECTION 2 OF 2 USNATO 6848

WESTERN CAPABILITIES CUT BACK

WHILE THE SOVIET UNION HAS DEVELOPED, AND WHAT IS MORE IS PUTTING INTO PRACTICE, THIS WORLD-WIDE MARITIME CAPABILITY WITH CLEAR AND OBVIOUS DANGERS TO THE ALLIANCE, NATO ON THE OTHER HAND HAS NOT CHANGED THE POSTURE IT ORIGINALLY ADOPTED AT A TIME WHEN THE SOVIET UNION DID NOT POSSESS SUCH A CAPABILITY. INDEED, WITH THE WITHDRAWAL OF THE EUROPEAN NATO COUNTRIES FROM AFRICA, THE MIDDLE EAST AND THE INDIAN OCEAN, EVEN THE UNILATERAL CAPABILITIES OF NATO NATIONS IN AREAS OUTSIDE NATO HAVE BEEN DRAMATICALLY CUT BACK. IT IS NOT TOO EXTREME TO SAY WE HAVE NOW REACHED A POSITION WHERE A MARITIME THREAT TO NATO IS BEING POSED IN AREAS WHERE NATO HAS LITTLE OR NO PRESENCE OR CAPABILITY. NATO DETERRENCE IN THESE AREAS ISVIRTUALLY NON-EXISTENT. ONLY THE UNITED STATES, FRANCE AND OCCASIONALLY THE UNITED KINGDOM CONTINUE TO DEPLOY FORCES OF ANY SIGNIFICANCE; AND EVEN THESE DEPLOYMENTS ARE OF NECESSITY FEW AND FAR BETWEEN. AT THE SAME TIME OUR DEPENDENCE ON THESE AREAS HAS NEVER BEEN GREATER. NOT ONLY FOR OURSURVIVAL, BUT ALSO AS THE ROUTE THROUGH WHICH

WE TRADE AND THUS ESTABLISH MUTUAL INTERESTS WITH MUCH OF THE REST OF THE WORLD.

THE CONVENTIONAL MARITIME FORCES OF THE ALLIANCE ARE A MAJOR FACTOR IN OUR DETERRENT POSTURE. THESE FORCES MUST BE USED EFFECTIVELY AND FULL ADVANTAGE TAKEN OF THEIR FLEXIBILITY. THIS MEANS ADJUSTING OUR STRATEGY TO MEET ANY THREAT TO OUR WELLBEING UNCLASSIFIED

PAGE 02 NATO 06848 02 OF 02 150208Z

WHATEVER LOCATION THAT MIGHT BE, WHETHER INSIDE OR OUTSIDE THE EXISTING NATO AREA. THIS IS NOT TO SAY THAT THE DETERRENT VALUE OF OUR CAPABILITY TO PROVIDE TIMELY REINFORCEMENT AND RESUPPLY TO NATO EUROPE IS ANY LESS IMPORTANT, BUT RATHER THAT THE NEED FOR A NEW DIMENSION TO OUR STRATEGY HAS BEEN ADDED BY SOVIET MARITIME EXPANSION. THE SOVIET UNION IS CAPITALIZING ON OUR RELUCTANCE TO EXTEND OUR AREA OF CONCERN AND IS MOUNTING A THREAT WHICH COULD UNDERMINE AND OUT-FLANK OUR FORCES IN EUROPE.

WHAT CAN WE DO ABOUT IT? CERTAINLY, SOME INCREASE IN NEW SHIPS AND AIRCRAFT IS NEEDED. NUMBERS ARE IMPORTANT TO NATO AS WELL AS THE BLOC. THE SOVIET NAVAL HIGH COMMAND ARE NOT MAGICIANS; AND WHEN THEY DEPLOY FORCES TO SUCH AREAS AS THE INDIAN OCEAN OR SOUTH ATLATIC THEIR FORCES IN THE NATO AREA AND ELSEWHERE ARE THAT MUCH REDUCED. THEIR SHIPBUILDING PROGRAMME MAY HAVE PEAKED.HOWEVER, THE SOVIETS' EXISTING SHIPBUILDING CAPACITY REMAINS WORRISOME. IN THE SHORT TERM OUR PROBLEM IS NOT SO MUCH ONE OF PROVIDING MORE IMMEDIATELY, BUT RATHER OF USING WHAT WE HAVE MORE FLEXIBLY UNTIL THE NATO COUNTRIES TOTAL NUMBERS OF PLATFORMS AND AIRCRAFT REACH PROGRAMMED LEVELS. FORTUNATELY, MARITIME FORCES ARE INHERENTLY FLEXIBLE. TO AN EXTENT, MUCH OF WHAT WE NEED IS CURRENTLY AVAILABLE.

WE MUST BEGIN WITH A WHOLE-HEARTED ACCEPTANCE THAT ALLIANCE INTERESTS ARE BEING THREATENED OUTSIDE AS WELL AS WITHIN NATO BOUNDARIES. THIS DOES NOT MEAN THAT A CONSTANT PRESENCE IS REQUIRED OUTSIDE THE NATO AREA, BUT RATHER THAT, AS AN ALLIANCE, WE NEED TO DEVELOP THE CAPABILITY TO OPERATE IN OTHER AREAS, AND TO BE PREPARED TO DEMONSTRATE IT.

OF EQUAL IMPORTANCE, AND IN ORDER TO SUPPORT THESE DEPLOYMENTS, WE MUST LOOK AT THE NEEDS OF UNCLASSIFIED

PAGE 03 NATO 06848 02 OF 02 150208Z

LOGISTIC SUPPORT. SUCH SUPPORT WILL REQUIRE A
NUMBER OF SUPPLY UNITS, SOME OF WHICH WILL FLOAT
AND SOME OF WHICH WILL BE REQUIRED ARE ALREADY
AVAILABLE TO THE ALLIANCE. ASHORE, WE SHALL NEED
LOGISTIC BASES FROM WHICH THE AFLOAT SUPPORT CAN
REPLENISH AND AT WHICH WE CAN POSITION COMMUNICATIONS FACILITIES AND AIRFIELDS. THE THOUGHT OF
NATO BASES OUTSIDE THE EXISTING NATO AREA HAS
UNDERSTANDABLY BEEN A DIFFICULT SUBJECT FOR NATO'S
POLITICAL LEADERS; BUT WHEN IT IS REALIZED THAT WE CAN
PROBABLY MANAGE WITH THE ISLANDS WHICH ALREADY
EXIST UNDER THE CONTROL OF INDIVIDUAL MEMBERS OF
THE ALLIANCE IT MAY BE THAT THE PROBLEM WILL BE SEEN
TO BE WITHIN THE BOUNDS OF POLITICAL REALITY.

WHAT IS IMPORTANT IS THAT A START SHOULD BE MADE; THAT NATO SHOULD SHOW A VISIBLE AND DEMON-STRABLE AWARENESS OF THE THREAT TO THOSE OF ITS VITAL INTERESTS WHICH LIE OUTSIDE THE NATO AREA, AND THAT NATO COMMANDERS' SHIPS AND AIRCRAFT SHOULD FROM TIME TO TIME BE OPERATED WITH VISIBILITY OUTSIDE THE NATO AREA.

THERE IS NO DOUBT AT ALL THAT A NEW DIMENSION HAS BEEN ADDED TO THE THREAT AND THAT EMPHASIS IS BEING PLACED ON USING PART OF IT IN AREAS WHERE OUR INTERESTS ARE CRUCIAL AND EXPOSED, AND WHERE OUR CURRENT MILITARY CAPABILITY IS LIMITED. END TEXTSTRAUSZ-HUPE

UNCLASSIFIED

<< END OF DOCUMENT >>

Message Attributes

Automatic Decaptioning: X Capture Date: 30 AUG 1999 Channel Indicators: n/a

Current Classification: UNCLASSIFIED

Concepts: n/a Control Number: n/a Copy: SINGLE Draft Date: 14 DEC 1976 Decaption Date: 01 JAN 1960 Decaption Note: Disposition Action: n/a

Disposition Action: n/a
Disposition Approved on Date:
Disposition Authority: n/a
Disposition Case Number: n/a
Disposition Comment:
Disposition Date: 01 JAN 1960
Disposition Event:
Disposition History: n/a
Disposition Reason:
Disposition Remarks:
Document Number: 1976NATO0

Document Number: 1976NATO06848
Document Source: ADS
Document Unique ID: 00

Drafter: n/a Enclosure: n/a Executive Order: N/A Errors: n/a Film Number: n/a From: NATO

Handling Restrictions: n/a

Image Path:

Legacy Key: link1976/newtext/t19761290/baaabafs.tel Line Count: 305

Locator: TEXT ON-LINE Office: ACTION EUR

Original Classification: UNCLASSIFIED Original Handling Restrictions: n/a Original Previous Classification: n/a Original Previous Handling Restrictions: n/a

Page Count: 6

Previous Channel Indicators: Previous Classification: n/a Previous Handling Restrictions: n/a Reference: n/a Review Authority: schwenja

Review Comment: n/a Review Content Flags: Review Date: 15 JUN 2004

Review Event:

Review Exemptions: n/a
Review History: RELEASED <15 JUN 2004 by hattaycs>; APPROVED <19 AUG 2004 by schwenja>

Review Markings:

Margaret P. Grafeld Declassified/Released US Department of State EO Systematic Review 04 MÁY 2006

Review Media Identifier: Review Referrals: n/a Review Release Date: n/a Review Release Event: n/a **Review Transfer Date:** Review Withdrawn Fields: n/a

Secure: OPEN Status: NATIVE Subject: n/a TAGS: PFOR NATO To: STATE **ALL NATO CAPITALS** USCINCEUR USLOSACLANT **USNMR SHAPE** Type: TE

Markings: Margaret P. Grafeld Declassified/Released US Department of State EO Systematic Review 04 MAY 2006